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650 493 5556 TO 817037469195

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**Morgan Lewis**  
COUNSELORS AT LAW

**SEND TO**

Name: Office of Initial Patent Examination's Filing Receipt Corrections  
Firm: U.S. Patent and Trademark Office  
FAX Number: (703) 746-9195  
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**FROM**

Name: David R. Owens  
Date Sent: June 8, 2004  
FAX Number: (650) 493-5556  
Telephone Number: (650) 849-7682

Number of Pages: 6  
(including cover page)

**COMMENTS**

U.S. Patent Application No. 10/629,891  
Filed: July 29, 2003  
Title: METHOD AND APPARATUS FOR TRADING ENERGY COMMITMENTS  
Inventors: Gilbert *et al.*  
Our Ref.: 060825-0321 US (formerly 9842-321-999)

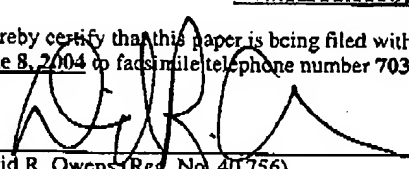
**OFFICIAL FILING**

Transmitted herewith for filing:

1. Request for Corrected Updated Filing Receipt (1 page);
2. Copy of official Updated Filing Receipt (2 pages);
3. Marked-up copy of official Updated Filing Receipt (2 pages).

**CERTIFICATION OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. 1.8(a)**

I hereby certify that this paper is being filed with the United States Patent and Trademark Office by facsimile transmission on June 8, 2004 to facsimile telephone number 703-746-9195.

  
David R. Owens (Reg. No. 40,756)

June 8, 2004  
Date

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Gilbert *et al.*

Confirmation No.: 5565

Application No.: 10/629,891

Art Unit: 3629

Filed: July 29, 2003

Examiner: To be assigned

For: METHOD AND APPARATUS  
FOR TRADING ENERGY  
COMMITMENTSAttorney Docket No: 060825-0321 US  
(formerly 9842-321-999)**REQUEST FOR CORRECTED UPDATED FILING RECEIPT**Office of Initial Patent Examination's Filing Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached please find a copy of the official Updated Filing Receipt mailed May 18, 2004 and a marked-up copy of the Updated Filing Receipt showing a necessary correction for the above-identified application. Applicant respectfully requests correction of the Updated Filing Receipt as follows:

- (1) The Updated Filing Receipt does not correctly spell the first name of the second-named inventor. Please correct the inventor's name to read:

**WILLIAM M. SMITH.**

No fee is believed due for this filing. However, if a fee is due, please charge such fee to Morgan, Lewis & Bockius LLP Deposit Account No. 50-0310 (order no. 060825-0321 US). A duplicate of this sheet is enclosed for accounting purposes.

Date: June 8, 2004

Respectfully submitted,

  
David R. Owens

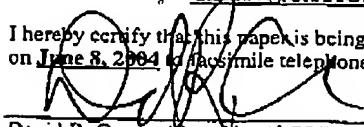
Reg. No 40,756

**MORGAN, LEWIS & BOCKIUS**  
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Attachments

**CERTIFICATION OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. 1.8(a)**

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David R. Owens (Reg. No. 40,756)June 8, 2004  
Date

I-IPA: 510030.1



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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/629,891	07/29/2003	3629	1138	60825-0321 US	4	25	5

CONFIRMATION NO. 5565

24341  
 MORGAN, LEWIS & BOCKIUS, LLP.  
 3300 HILLVIEW AVENUE  
 PALO ALTO, CA 94304

## UPDATED FILING RECEIPT

OC000000012701661

Date Mailed: 05/18/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Joel Gilbert, Tucker, GA;  
 William M. Smith, Oakland, CA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 11/05/2003

Projected Publication Date: 02/03/2005

Non-Publication Request: No

Early Publication Request: No

## Title

Method and apparatus for trading energy commitments

## Preliminary Class

705

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"DC000000012701681"

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**Applicant(s)**

Joel Gilbert, Tucker, GA;  
William M. Smith, Oakland, CA;  
William

**Domestic Priority data as claimed by applicant**

## Foreign Applications

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**Non-Publication Request: No**

**Early Publication Request: No**

MAILED 2004  
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& DOCKETING CA OFFICE

**Title**

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